

Ambulatory/Outpatient Procedure Data File and Supporting Documentation File Layouts (UB04), 1996- Present

AMBULATORY/OUTPATIENT PROCEDURE DATA FILE LAYOUT			
FIELD NAME	DATA ELEMENT DESCRIPTION	FORMAT * / SIZE	NOTES
	Record Identifier		
SYSID	System assigned unique record sequence number	X(7)	Unique code for each record for each quarter
YEAR	Processing Year	X(4)	Year
QUARTER	Processing Quarter	X(1)	Quarter of year
CLAIMTYPE	Data Type	X(1)	An indicator to identify type of record; (field name changed from "SUBTYPE" starting 2007Q3)
	Facility Identification		
PAF	Pennsylvania Facility Number (PAF)	X(4)	PHC4 assigned facility code
HREGION	Facility Region Code	X(1)	PHC4 assigned Region code (1 - 9)
MAID	MAID	X(8)	PA Medical Assistance Identifier; No longer available effective 2003Q1
	Patient Data		
PTSEX	Patient Sex Code	X(1)	Gender
ETHNIC	Hispanic/Latino Origin or Descent	X(1)	Ethnic descent
RACE	Race Code	X(1)	Race
PSEUDOID	Pseudo Patient Identifier	X(10)	PHC4 assigned unique patient code
AGE	Patient Age in Years	9(3)	Age of patient; Zero if less than 1 year
AGECAT	Patient Age in Days	X(2)	PHC4 assigned age groups of patient age in days; Only calculated if ≤1 year old
PTZIP	Patient Zip Code	X(5)	Zip code of patient
MKTSHARE	Home Market Share Area Code	X(3)	Blank filled
COUNTY	Patient Home County Code	X(3)	PA county code (1 – 67) or Federal County Code
STATE	Patient State Code	X(2)	USPS standard state code
ACCIDENTSTATE	Accident State	X(2)	Identifies state of where the auto accident occurred if services reported on the claim are related to the accident; Available effective 2011Q1
	Admission Data		
ADTYPE	Priority (Type of Visit)	X(1)	Defines urgency level of admission; No longer available effective 2007Q3

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FIELD NAME	DATA ELEMENT DESCRIPTION	FORMAT * / SIZE	NOTES
ADSOURCE	Point of Origin for admission or visit	X(1)	Defines point of Origin for admission or visit
ADHOUR	Admission Hour	X(2)	Military time (24 hour clock); No longer available effective 2007Q3
ADMDX	Admitting Diagnosis	X(7)	Defines diagnosis at admission; No longer available effective 2007Q3; (field size changed from 6 starting 2007Q3)
ADDOW	Admission Day of Week	X(1)	Code for day of admission (1 – 7); No longer available effective 2007Q3
Discharge Data			
DCSTATUS	Patient Discharge Status	X(2)	Defines discharge destination; No longer available effective 2007Q3
DCHOUR	Discharge Hour	X(2)	Military time (24 hour clock); No longer available effective 2007Q3
DCDOW	Discharge Day of Week	X(1)	Code for day of discharge (1 - 7)
Diagnosis Codes			
ECODE1	External Cause of Injury Code (1)	X(7)	Defines external cause of injury code (ECI); (field name changed from "ECODE" and field size changed from 6 starting 2007Q3)
ECODE2	External Cause of Injury Code (2)	X(7)	Defines additional external cause of injury code (ECI); Available effective 2011Q1
ECODE3	External Cause of Injury Code (3)	X(7)	Defines additional external cause of injury code (ECI); Available effective 2011Q1
PDX	Principal Diagnosis Code	X(7)	Defines diagnosis at discharge; (field size changed from 6 starting 2007Q3)
SDX1	Secondary/Other Diagnosis Code (1)	X(7)	Defines additional diagnosis conditions; (field size changed from 6 starting 2007Q3)
SDX2	Secondary/Other Diagnosis Code (2)	X(7)	Defines additional diagnosis conditions; (field size changed from 6 starting 2007Q3)
SDX3	Secondary/Other Diagnosis Code (3)	X(7)	Defines additional diagnosis conditions; (field size changed from 6 starting 2007Q3)
SDX4	Secondary/Other Diagnosis Code (4)	X(7)	Defines additional diagnosis conditions; (field size changed from 6 starting 2007Q3)

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SDX5	Secondary/Other Diagnosis Code (5)	X(7)	Defines additional diagnosis conditions; (field size changed from 6 starting 2007Q3)
SDX6	Secondary/Other Diagnosis Code (6)	X(7)	Defines additional diagnosis conditions; (field size changed from 6 starting 2007Q3)
SDX7	Secondary/Other Diagnosis Code (7)	X(7)	Defines additional diagnosis conditions; (field size changed from 6 starting 2007Q3)
SDX8	Secondary/Other Diagnosis Code (8)	X(7)	Defines additional diagnosis conditions; (field size changed from 6 starting 2007Q3)
SDX9	Secondary/Other Diagnosis Code (9)	X(7)	Defines additional diagnosis conditions; Available effective 2011Q1
SDX10	Secondary/Other Diagnosis Code (10)	X(7)	Defines additional diagnosis conditions; Available effective 2011Q1
SDX11	Secondary/Other Diagnosis Code (11)	X(7)	Defines additional diagnosis conditions; Available effective 2011Q1
SDX12	Secondary/Other Diagnosis Code (12)	X(7)	Defines additional diagnosis conditions; Available effective 2011Q1
SDX13	Secondary/Other Diagnosis Code (13)	X(7)	Defines additional diagnosis conditions; Available effective 2011Q1
SDX14	Secondary/Other Diagnosis Code (14)	X(7)	Defines additional diagnosis conditions; Available effective 2011Q1
SDX15	Secondary/Other Diagnosis Code (15)	X(7)	Defines additional diagnosis conditions; Available effective 2011Q1
SDX16	Secondary/Other Diagnosis Code (16)	X(7)	Defines additional diagnosis conditions; Available effective 2011Q1
SDX17	Secondary/Other Diagnosis Code (17)	X(7)	Defines additional diagnosis conditions; Available effective 2011Q1
	Procedure Codes		
PPX	Principal Procedure Code	X(7)	Defines principal procedure; No longer available effective 2007Q3
SPX1	Secondary Procedure Code (1)	X(7)	Defines additional procedure; No longer available effective 2007Q3
SPX2	Secondary Procedure Code (2)	X(7)	Defines additional procedure; No longer available effective 2007Q3

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FIELD NAME	DATA ELEMENT DESCRIPTION	FORMAT * / SIZE	NOTES
SPX3	Secondary Procedure Code (3)	X(7)	Defines additional procedure; No longer available effective 2007Q3
SPX4	Secondary Procedure Code (4)	X(7)	Defines additional procedure; No longer available effective 2007Q3
SPX5	Secondary Procedure Code (5)	X(7)	Defines additional procedure; No longer available effective 2007Q3
	Procedure Day of Week		
PPXDOW	Principal Procedure Day	X(1)	Code for day of principal procedure (1 - 7) ; No longer available effective 2007Q3
SPX1DOW	Secondary Procedure Code (1) Day	X(1)	Code for day of additional procedure (1 - 7) ; No longer available effective 2007Q3
SPX2DOW	Secondary Procedure Code (2) Day	X(1)	Code for day of additional procedure (1 - 7) ; No longer available effective 2007Q3
SPX3DOW	Secondary Procedure Code (3) Day	X(1)	Code for day of additional procedure (1 - 7) ; No longer available effective 2007Q3
SPX4DOW	Secondary Procedure Code (4) Day	X(1)	Code for day of additional procedure (1 - 7) ; No longer available effective 2007Q3
SPX5DOW	Secondary Procedure Code (5) Day	X(1)	Code for day of additional procedure (1 - 7) ; No longer available effective 2007Q3
	Physician Data		
REFID	Referring Physician – state license	X(9)	ID of referring physician; No longer available effective 2007Q3
ATTID	Attending Physician – state license	X(9)	ID of attending physician; No longer available effective 2007Q3
OPERID	Operating Physician – state license	X(9)	ID of operating physician
OTHPROVQ1	Other Provider – Provider Type Qualifier (1)	X(2)	Code to identify if referring, other operating, or rendering physician; Available effective 2011Q1
OTHPROVID1	Other Provider – Secondary Identifier (1) – state license	X(9)	ID of other physician; Available effective 2011Q1
OTHPROVQ2	Other Provider – Provider Type Qualifier (2)	X(2)	Code to identify if referring, other operating, or rendering physician; Available effective 2011Q1
OTHPROVID2	Other Provider – Secondary Identifier (2) – state license	X(9)	ID of other physician; Available effective 2011Q1

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FIELD NAME	DATA ELEMENT DESCRIPTION	FORMAT * / SIZE	NOTES
Payer Identification			
PAYTYPE1	Primary	X(2)	Defines primary payer
PAYTYPE2	Secondary	X(2)	Defines secondary payer
PAYTYPE3	Tertiary	X(2)	Defines tertiary (third-stage) payer
ESTPAYER	Estimated Payer Code	X(2)	No longer available effective 1998Q3
HEALTHPLANID1	Payer ID/Health Plan ID (NAIC)	X(15)	Primary payer/health plan; (field name changed from "NAIC" and field size changed from 7 starting 2007Q3)
Additional Data Elements			
BILLTYPE	Type of Bill	X(4)	Defines type of bill; (field size changed from 3 starting 2007Q3)
PCMU	Procedure Coding Method Used	X(1)	Procedure coding method; No longer available effective 2007Q3
CANCER1	Cancer Code 1	X(1)	Available 1998Q4 through 2005Q4
CANCER2	Cancer Code 2	X(1)	Available 1998Q4 through 2005Q4
Summary Charges			
ROOMCHG	Room & Board Charges	X(11)	Total room and board charges
ANCLRCHG	Ancillary Charges	X(11)	Total ancillary charges
DRUGCHG	Drug Charges	X(11)	Total drug charges
EQUIPCHG	Equipment Charges	X(11)	Total equipment charges
SPECLCHG	Specialty Charges	X(11)	Total specialty charges
MISCCHG	Miscellaneous Charges	X(11)	Total of other charges
TOTALCHG	Total Charges	X(11)	Total charges excluding professional fees/charges
NONCVCHG	Non-covered Charges	X(11)	Total non-covered charges
PROFCHG	Professional Fees	X(11)	Total professional services fees/charges
Procedure Days After Admission			
PXDAAA	Principal Procedure Days in between	X(8)	Number of days between date of admission to principal procedure; No longer available effective 2007Q3
SPXDAAA1	Secondary Procedure (1) Days in between	X(8)	Number of days between date of admission to procedure; No longer available effective 2007Q3

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<i>AMBULATORY/OUTPATIENT PROCEDURE DATA FILE LAYOUT</i>			
FIELD NAME	DATA ELEMENT DESCRIPTION	FORMAT * / SIZE	NOTES
SPXDtaa2	Secondary Procedure (2) Days in between	X(8)	Number of days between date of admission to procedure; No longer available effective 2007Q3
SPXDtaa3	Secondary Procedure (3) Days in between	X(8)	Number of days between date of admission to procedure; No longer available effective 2007Q3
SPXDtaa4	Secondary Procedure (4) Days in between	X(8)	Number of days between date of admission to procedure; No longer available effective 2007Q3
SPXDtaa5	Secondary Procedure (5) Days in between	X(8)	Number of days between date of admission to procedure; No longer available effective 2007Q3
	Physician Data – National Provider Identifier (NPI)		
OPERNPI	Operating physician - NPI	X(11)	ID of operating physician; Available effective 2015Q3
OTHPROV1NPI	Other Provider – Secondary national provider identifier number (1) - NPI	X(11)	ID of other physician; Available effective 2015Q3
OTHPROV2NPI	Other Provider – Secondary national provider identifier number (2) - NPI	X(11)	ID of other physician; Available effective 2015Q3

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AMBULATORY/OUTPATIENT SUPPLEMENTAL PROCEDURE FILE LAYOUT NEW FILE EFFECTIVE 2007Q3			
Use the SYSID field with the YEAR, QUARTER, and CLAIMTYPE fields to successfully link these records to the corresponding records in the ambulatory/outpatient procedure data file(s).			
FIELD NAME	DATA ELEMENT DESCRIPTION	FORMAT* / SIZE	NOTES
	Record Identification		
SYSID	System assigned unique record sequence number	X(7)	Unique code for each record for each quarter
YEAR	Processing Year	X(4)	Year
QUARTER	Processing Quarter	X(1)	Quarter of year
CLAIMTYPE	Data Type	X(1)	An indicator to identify type of record
	Procedure Data		
HCPCSRAT	HCPCS Codes + modifiers(s)	X(14)	The Healthcare Common Procedure Coding System (HCPCS) applicable to ancillary service and outpatient bills
PXROW	Procedure day of week	X(1)	Code for day of procedure (1-7)
DAYSBEW	Procedure Days After Services Began	X(8)	Number of days between procedure and date services began

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FACILITY PROFILE FILE LAYOUT (Quote/comma delimited format)			
FIELD NAME	DATA ELEMENT NAME	FORMAT* / SIZE	NOTES
PAF	Pennsylvania Facility Number (PAF)	X(4)	PHC4 assigned facility code
FACNAME	Facility Name	X(100)	Name of facility
FACTYPE	Facility Type	X(3)	Defines type of facility; Available effective 1995Q1
BEDCOUNTS	Facility bed count	9(6)	Number of licensed beds
REGION	Facility Region Code	X(1)	PHC4 assigned Region code (1 - 9)
ZIP	Facility Zip Code	X(10)	Facility Zip code
COUNTY	Facility County Code	X(3)	PA county code (1 - 67)
NPI	National Provider Identifier	X(15)	Unique identification number assigned to the provider; Available effective 2007Q3
MAID	Master Provider Index	X(15)	PA Medical Assistance Identifier; Available 1990Q1 through 2007Q2; (field name changed from "UNITID1" starting 2003Q4)
UNITTYPE1	Unit Type (1)	X(3)	Defines type of unit; Available 1990Q1 through 2003Q3
UNITID2	Unit ID (2)	X(15)	MAID unit code; Available 1990Q1 through 2003Q3
UNITTYPE2	Unit Type (2)	X(3)	Defines type of unit; Available 1990Q1 through 2003Q3
UNITID3	Unit ID (3)	X(15)	MAID unit code; Available 1990Q1 through 2003Q3
UNITTYPE3	Unit Type (3)	X(3)	Defines type of unit; Available 1990Q1 through 2003Q3
UNITID4	Unit ID (4)	X(15)	MAID unit code; Available 1990Q1 through 2003Q3
UNITTYPE4	Unit Type (4)	X(3)	Defines type of unit; Available 1990Q1 through 2003Q3
UNITID5	Unit ID (5)	X(15)	MAID unit code; Available 1990Q1 through 2003Q3
UNITTYPE5	Unit Type (5)	X(3)	Defines type of unit; Available 1990Q1 through 2003Q3
IPDISCH	Inpatient Discharges	9(8)	Total discharges for facility during the quarter
OPDISCH	Outpatient Records	9(8)	Total outpatient cases for facility during the quarter; Available effective 1996Q1
ADDRESS1	Facility Address: Line 1	X(200)	First line of facility address; available effective 2016Q2
ADDRESS2	Facility Address: Line 2	X(200)	Second line of facility address; available effective 2016Q2
CITY	Facility Address: City	X(50)	City where facility is located; available effective 2016Q2

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PHYSICIAN PROFILE FILE LAYOUT (Quote/comma delimited format)			
FIELD NAME	DATA ELEMENT NAME	FORMAT* / SIZE	NOTES
LICENSE/NPI	Physician License Number/National provider identifier	X(11)	PA assigned license number; Available 1990Q1 through 2015Q2: National Provider Identifier number; Available effective 2015Q3
LASTNAME	Physician Last Name	X(40)	Last name of physician
FIRSTNAME	Physician First Name and/or Initials	X(40)	First name and/or initials of physician
MATCHINDICATOR	Match Indicator	X(3)	Flag Indicator; Available effective 2006Q1

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STATE & COUNTRY CODES

AL – Alabama	IL – Illinois	MT – Montana	PR – Puerto Rico
AK – Alaska	IN – Indiana	NE – Nebraska	RI – Rhode Island
AZ – Arizona	IA – Iowa	NV – Nevada	SC – South Carolina
AR – Arkansas	KS – Kansas	NH – New Hampshire	SD – South Dakota
CA – California	KY – Kentucky	NJ – New Jersey	TN – Tennessee
CO – Colorado	LA – Louisiana	NM – New Mexico	TX – Texas
CT – Connecticut	ME – Maine	NY – New York	UT – Utah
DE – Delaware	MD – Maryland	NC – North Carolina	VT – Vermont
DC – District of Columbia	MA – Massachusetts	ND – North Dakota	VI – Virgin Islands
FL – Florida	MI – Michigan	OH – Ohio	VA – Virginia
GA – Georgia	MN – Minnesota	OK – Oklahoma	WA – Washington
HI – Hawaii	MS – Mississippi	OR – Oregon	WV – West Virginia
ID – Idaho	MO – Missouri	PA – Pennsylvania	WI – Wisconsin
			WY – Wyoming

PENNSYLVANIA COUNTY CODES

001 – Adams (Region 5)	018 – Clinton (Region 4)	035 – Lackawanna (Region 6)	052 – Pike (Region 6)
002 – Allegheny (Region 1)	019 – Columbia (Region 4)	036 – Lancaster (Region 5)	053 – Potter (Region 2)
003 – Armstrong (Region 1)	020 – Crawford (Region 2)	037 – Lawrence (Region 2)	054 – Schuylkill (Region 7)
004 – Beaver (Region 1)	021 – Cumberland (Region 5)	038 – Lebanon (Region 5)	055 – Snyder (Region 4)
005 – Bedford (Region 3)	022 – Dauphin (Region 5)	039 – Lehigh (Region 7)	056 – Somerset (Region 3)
006 – Berks (Region 7)	023 – Delaware (Region 8)	040 – Luzerne (Region 6)	057 – Sullivan (Region 6)
007 – Blair (Region 3)	024 – Elk (Region 2)	041 – Lycoming (Region 4)	058 – Susquehanna (Region 6)
008 – Bradford (Region 6)	025 – Erie (Region 2)	042 – McKean (Region 2)	059 – Tioga (Region 4)
009 – Bucks (Region 8)	026 – Fayette (Region 1)	043 – Mercer (Region 2)	060 – Union (Region 4)
010 – Butler (Region 1)	027 – Forest (Region 2)	044 – Mifflin (Region 4)	061 – Venango (Region 2)
011 – Cambria (Region 3)	028 – Franklin (Region 5)	045 – Monroe (Region 6)	062 – Warren (Region 2)
012 – Cameron (Region 2)	029 – Fulton (Region 5)	046 – Montgomery (Region 8)	063 – Washington (Region 1)
013 – Carbon (Region 7)	030 – Greene (Region 1)	047 – Montour (Region 4)	064 – Wayne (Region 6)
014 – Centre (Region 4)	031 – Huntingdon (Region 5)	048 – Northampton (Region 7)	065 – Westmoreland (Region 1)
015 – Chester (Region 8)	032 – Indiana (Region 3)	049 – Northumberland (Region 4)	066 – Wyoming (Region 6)
016 – Clarion (Region 2)	033 – Jefferson (Region 2)	050 – Perry (Region 5)	067 – York (Region 5)
017 – Clearfield (Region 2)	034 – Juniata (Region 5)	051 – Philadelphia (Region 9)	

